

Attorney Docket No.: 50623.132

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Examiner: Unassigned

Dorrie M. Happ

Serial No.: 09/966,036

Art Unit: Unknown

Filed: September 28, 2001

Title: A Medical Device Containing Light-Protected Therapeutic Agent And A Method For Fabricating Thereof

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TC 1700

Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT PURSUANT TO  
37 C.F.R. §§1.97-1.98**

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Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of the cited references is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

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The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Date: JANUARY 8, 2002  
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Respectfully submitted,

  
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CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on January 8, 2002.

Date: 1/8/02

By: Tracie M. Glowner

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